

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	1-23909
	First Inventor	Darryl R. Pauls
	Title	CASTER WHEEL ASSEMBLY WITH ANTI-ETC.
	Express Mail Label	EL 863359065 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Mail Stop Patent Application P. O. Box 1450 Alexandria VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification <small>[Total Pages 10]</small> <small>(preferred arrangement set forth below)</small> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Detailed Description of the Drawings (if filed) - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>[Total Sheets 7]</small> 5. Oath or Declaration <small>[Total Pages 2]</small> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_


For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

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(Insert Customer Number or Bar Code label here)

Name	PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Ted C. Gillespie	Registration No. (Attorney/Agent)	27,981
Signature		Date	July 2, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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16633 U.S. PTO  
10/612435  
07/02/03

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$790.00

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Darryl R. Pauls
Examiner Name	
Group Art Unit	
Attorney Docket No.	1-23909

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other				
<input checked="" type="checkbox"/> Deposit Account:							
Deposit Account Number	13-0005						
Deposit Account Name	MacMillan, Sobanski & Todd,						
<p>The Commissioner is authorized to: (check all that apply)</p> <p><input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments</p> <p><input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application</p> <p><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.</p>							
<b>1. BASIC FILING FEE</b>				<b>3. ADDITIONAL FEES</b>			
Large Entity	Small Entity	Fee Description	Fee Paid	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)			Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375	Utility filing fee	750.00	1051 130	2051 65	Surcharge - late filing fee or oath	
1002 330	2002 165	Design filing		1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1003 520	2003 260	Plant filing fee		1053 130	1053 130	Non - English specification	
1004 750	2004 375	Reissue filing		1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1005 160	2005 80	Provisional filing fee		1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$)			750.00	1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
				1251 110	2251 55	Extension for reply within first month	
				1252 410	2252 205	Extension for reply within second month	
				1253 930	2253 465	Extension for reply within third month	
				1254 1,450	2254 725	Extension for reply within fourth month	
				1255 1,970	2255 985	Extension for reply within fifth month	
				1401 320	2401 160	Notice of Appeal	
				1402 320	2402 160	Filing a brief in support of an appeal	
				1403 280	2403 140	Request for oral hearing	
				1451 1,510	1451 1,510	Petition to institute a public use proceeding	
				1452 110	2452 55	Petition to revive - unavoidable	
				1453 1,300	2453 650	Petition to revive - unintentional	
				1501 1,300	2501 650	Utility issue fee (or reissue)	
				1502 470	2502 235	Design issue fee	
				1503 630	2503 315	Plant issue fee	
				1460 130	1460 130	Petitions to the Commissioner	
				1807 50	1807 50	Processing fee under 37 CFR § 1.17(g)	
				1806 180	1806 180	Submission of Information Disclosure Statement	
				8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40.00
				1809 750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
				1810 750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	
				1801 750	2801 375	Request for Continued Examination (RCE)	
				1802 900	1802 900	Request for expedited examination of a design application	
				Other fee (specify)			
SUBTOTAL (2) (\$)			0.00	SUBTOTAL (3) (\$)			40.00

\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Ted C. Gillespie	Registration No. (Attorney/Agent)	27,981
Signature	<i>Ted C. Gillespie</i>	Telephone	(419) 255-5900
		Date	July 2, 2003

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Darryl R. Pauls**

Docket No.

1-23909

Serial No.

Filing Date  
Herewith

Examiner

Group Art Unit

Invention: **CASTER WHEEL ASSEMBLY WITH ANTI-FLUTTER CONTROL**

I hereby certify that the following correspondence:

**Utility Patent Application which includes a 16 page Specification, 7 sheets of Drawings, an executed Declaration, an executed Assignment and a Return Postcard***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 2, 2003.*(Date)*Toni Bosch*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL 86335906S US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**